

Form PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

LIST OF ART CITED BY APPLICANT
(Use several sheets if necessary)

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AUG 28 2006

PATENT & TRADEMARK OFFICE

ATTY. DOCKET NO.
MI40-326

SERIAL NO.
09/820,467

APPLICANT
CLIFTON W. WOOD, JR.

FILING DATE
MARCH 28, 2001

GROUP
2616

U.S. PATENT DOCUMENTS EV832832829

| Examiner Initial | | Document Number | Date | Name | Class | Subclass | Filing Date If Appropriate |
|------------------|----|-----------------|-------|--------------|-------|----------|----------------------------|
| SH | AA | 5,164,985 | 11/92 | NYSEN ET AL. | 380 | 9 | |
| | AB | | | | | | |
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| | | | | | | | Yes | No |
| | AK | | | | | | | |
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EXAMINER
/Soon Hyun/

DATE CONSIDERED
10/23/2006

•EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. «ASK FormDialog» «PLAY SaveAssembledDocument»

Form PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
M140-326SERIAL NO.
09/820,467LIST OF ART CITED BY APPLICANT
(Use several sheets if necessary)APPLICANT
Clifton W. Wood, Jr.FILING DATE
March 28, 2001GROUP
1702

U.S. PATENT DOCUMENTS

| Initial | Document Number | Date | Name | Class | Subclass | Filing Date If Appropriate |
|---------|-----------------|-----------|---------|-----------------|----------|----------------------------|
| SH | AA | 5,942,987 | 8/24/99 | Heinrich et al. | | |
| SH | AB | 5,952,922 | 9/14/99 | Shober | | |
| | AC | | | | | |
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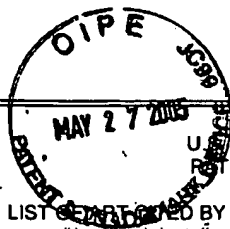
OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, Etc.)

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DATE CONSIDERED 02/16/2007

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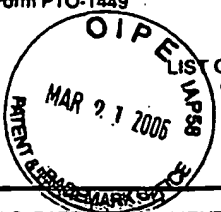


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Sheet 1 of 1

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| Form PTO-1449 | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | ATTY. DOCKET NO. MI40-326 | | SERIAL NO. 09/820,467 | | |
| LIST OF PATENTS BY APPLICANT (Use several sheets if necessary) | | | | APPLICANT: CLIFTON W. WOOD, JR. | | | | |
| | | | | FILING DATE MARCH 28, 2001 | | GROUP 2663 | | |
| U.S. PATENT DOCUMENTS | | | | | | | | |
| *Examiner's Initials | | Document Number | Date | Name | Class | Subclass | Filing Date If Appropriate | |
| SH | AA | 5,266,925 | 11/30/93 | VERCELLOTTI ET AL. | 340 | 572 | | |
| SH | AB | 5,988,510 | 11/23/99 | TUTTLE ET AL. | 235 | 492 | | |
| SH | AC | 6,072,801 | 6/06/00 | WOOD, JR., ET AL. | 370 | 437 | | |
| | AD | | | | | | | |
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EV850817023 Sheet 1 of 1

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| Form PTO-1449 | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | ATTY. DOCKET NO. MI40-326 | | SERIAL NO. 09/820,467 | |
|  LIST OF ART CITED BY APPLICANT (Use several sheets if necessary) | | | | APPLICANT: CLIFTON W. WOOD, JR. | | | |
| | | | | FILING DATE MARCH 28, 2001 | | GROUP 2661 | |

| U.S. PATENT DOCUMENTS | | | | | | | |
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| *Examiner's Initials | AA | Document Number | Date | Name | Class | Subclass | Filing Date if Appropriate |
| SH | AA | 5,055,968 | 10/08/91 | NISHI ET AL. | 361 | 395 | |
| | AB | | | | | | |
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| OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, Etc.) | | | |
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Form PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
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U.S. PATENT DOCUMENTS

| *Examiner Initial | | Document Number | Date | Name | Class | Subclass | Filing Date If Appropriate |
|-------------------|----|-----------------|----------|------------------|-------|----------|----------------------------|
| SH | AA | 5,627,544 | 5/6/97 | Snodgrass et al. | | | 3/18/96 |
| | AB | 5,625,628 | 4/29/97 | Heath | | | 3/15/95 |
| | AC | 5,608,739 | 3/4/97 | Snodgrass et al. | | | 9/8/95 |
| | AD | 5,583,850 | 12/10/96 | Snodgrass et al. | | | 6/21/94 |
| | AE | 5,500,650 | 3/19/96 | Snodgrass et al. | | | 12/15/92 |
| | AF | 5,479,416 | 12/26/95 | Snodgrass et al. | | | 9/30/93 |
| | AG | 5,365,551 | 11/15/94 | Snodgrass et al. | | | 12/15/92 |
| | AH | 4,862,453 | 8/29/89 | West et al. | | | 10/2/87 |
| | AI | 5,649,296 | 7/15/97 | MacLellan et al. | 455 | 38.2 | 6/19/95 |
| | AJ | 5,621,412 | 4/15/97 | Sharpe et al. | 342 | 51 | 6/7/95 |
| | AK | 4,926,182 | 5/15/90 | Ohta et al. | 342 | 44 | 5/29/87 |
| SH | AL | 4,075,632 | 2/21/78 | Baldwin et al. | 343 | 6.8 | 5/24/76 |

FOREIGN PATENT DOCUMENTS

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| SH | AM | EP 0 779 520 | 9/17/97 | EPO | 13 | 82 | X | |
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DATE CONSIDERED 02/16/2007

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| Form PTO-1449 | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | ATTY. DOCKET NO. MI40-326 | | SERIAL NO. 09/820,467 | |
| LIST OF ART CITED BY APPLICANT (Use several sheets if necessary) | | | | APPLICANT: CLIFTON W. WOOD, JR. | | | |
| FILING DATE MARCH 28, 2001 | | | | GROUP 2616 | | | |

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PATENT & TRADEMARK OFFICE

| U.S. PATENT DOCUMENTS | | | | | | | |
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| Examiner's Initials | Document Number | Date | Name | Class | Subclass | Filing Date if Appropriate | |
| <div style="font-size: 48px;">↓</div> | SH | AA | 5,142,694 | 08/1992 | JACKSON ET AL. | 455 | 67.11 |
| | | AB | 5,805,586 | 09/1998 | PERREAULT, ET AL. | 370 | 346 |
| | | AC | 5,841,770 | 11/1998 | SNODGRASS ET AL. | 370 | 346 |
| | | AD | 5,936,560 | 08/1999 | HIGUCHI | 341 | 106 |
| | | AE | 5,966,471 | 10/1999 | FISHER ET AL. | 382 | 253 |
| | | AF | 6,061,344 | 05/2000 | WOOD, JR., ET AL. | 370 | 346 |
| | | AG | 6,104,333 | 08/2000 | WOOD, JR. | 341 | 173 |
| | | AH | 6,118,789 | 09/2000 | WOOD, JR. | 370 | 462 |
| | | AI | 6,282,186 | 08/2001 | WOOD, JR. | 370 | 346 |
| | SH | AJ | 6,289,209 | 09/2001 | WOOD, JR. | 455 | 277.1 |
| FOREIGN PATENT DOCUMENTS | | | | | | | |
| Document Number | Date | Country | Class | Subclass | Translation | | |
| | | | | | Yes | No | |
| SH | AJ | WO 97/048216 | 12/18/97 | PCT - (WRIGHT-AT&T WIRELESS) | H04L | 12/403 | |
| | AK | | | | | | |
| | AL | | | | | | |
| OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, Etc.) | | | | | | | |
| SH | AM | ECC Report 1, "Compatibility between Inductive LF and HF RFID Transponder and Other Radio Communication Systems in the Frequency | | | | | |
| | | Ranges 135-148.5 kHz, 4.78-8.78 MHz and 11.56-15.56 MHz"Electronic Comm. Committee, 14 pp. (2/2002) | | | | | |
| SH | AN | http://216.121.131.129/article/articleview/330/1/1/ ; "EPC Doesn't Infringe RFID Patents", | | | | | |
| | | RFID Journal, 2 pp. (3/4/2003) | | | | | |
| SH | AO | MULLIN, Eileen, "Electronic Product Code", www.baselinemag.com , 4 pp. (printed 10/15/2003) | | | | | |
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| EXAMINER | | /Schoon Hyun/ DATE CONSIDERED 02/16/2007 | | | | | |
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| U.S. PATENT DOCUMENTS | | | | | EV832833055 | | | |
| Examiner's Initials | Class | Document Number | Date | Name | Class | Subclass | Filing Date if Appropriate | |
| SH | AA | 6,307,848 | 10/2001 | WOOD, JR., ET AL. | 370 | 329 | | |
| ↓ | AB | 6,265,963 | 07/2001 | WOOD, JR. | 340 | 10.4 | | |
| | AC | 6,226,300 | 05/2001 | HUSH, ET AL. | 370 | 437 | | |
| | AD | 6,038,455 | 3/2000 | GARDNER ET AL. | 455 | 447 | | |
| | AE | 5,619,648 | 04/1997 | CANALE ET AL. | 709 | 206 | | |
| | AF | 6,216,132 | 4/2001 | CHANDRA ET AL. | 707 | 103R | | |
| SH | AG | 5,640,151 | 06/1997 | REIS ET AL. | 340 | 10.2 | | |
| | AH | | | | | | | |
| | AI | | | | | | | |
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| FOREIGN PATENT DOCUMENTS | | | | | | | | |
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| OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, Etc.) | | | | | | | | |
| SH | AM | | http://www.rfid.zebra.com/epc.htm , "Electronic Product Code (PEC), 1 page (Printed 10/15/2003) | | | | | |
| SH | AN | | http://www.ereailnews.com/features/0105epc1.htm , "The Electronic Product Code (EPC), 2 pages (Printed 10/15/2003) | | | | | |
| SH | AO | | http://www.ereailnews.com/Features/0105epcschema.htm , "The Electronic Product Code Schematic", 1 page (Printed 10/15/2003) | | | | | |
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| OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, Etc.) | | | | | | | |
| SH | AL | http://www.ereailnews.com/features/epc.htm , "The Electronic Product Code (EPC), 2 pp. (Printed 10/15/2003) | | | | | |
| SH | AM | http://www.rfidjournal.com/article/articleview/473/1/1/ , "Second Source of Class 1 EPC Chips", RFID Journal , 2 pp. (6/26/2003) | | | | | |
| SH | AN | http://money.cnn.com/services/tickerheadlines/prn/clu045.PI.09162003122727.24911.htm , "Manhattan Associates Announces Next-Generation Microsoft-Based RFID Solutions", CNN MONEY , 3 pp. (9/16/2003) | | | | | |
| SH | AO | ENGELS, Daniel, "TECHNICAL REPORT, The Use of the Electronic Product Code", AUTO-ID Center , Massachusetts Institute of Technology , 8 pp. (2/1/2003) | | | | | |
| SH | AP | Auto-ID Center, Technical Report, "13.56 MHz ISM Band Class 1 Radio Frequency Identification Tag Interface Specification: Recommended Standard", Version 1.0.0, AUTO-ID Center , Massachusetts Institute of Technology , 31 pp. (2/1/2003) | | | | | |
| SH | AR | http://www.hightechaid.com/standards/18000.htm , "ISO/IEC 18000 - RFID Air Interface Standards", 6 pp. (Printed 10/15/2003) | | | | | |
| EXAMINER | | /Soon By / | | CONSIDERED | | 02/26/2007 | |
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| OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, Etc.) | | | | | | |
| SH | AL | Association Francaise de Normalization (AFNOR), "Identification Cards - Contactless Integrated Circuit(s) Cards - Vicinity Cards - Part 1: Physical Characteristics", FINAL DRAFT, ISO/IEC, #ISO/IEC FDIS 15693-1:2000(E), 8 pp, (5/19/2000) | | | | |
| SH | AM | Association Francaise de Normalization (AFNOR), "Identification Cards - Contactless Integrated Circuit(s) Cards - Vicinity Cards - Part 2: Air Interface and Initialization", FINAL DRAFT, ISO/IEC, #ISO/IEC FDIS 15693-2:2000(E), 23 pp. (2/03/2000) | | | | |
| SH | AN | ISO/IEC, "Identification Cards - Contactless Integrated Circuit(s) Cards - Vicinity Cards - Part 3: Anticollision and Transmission Protocol", ISO/IEC, #ISO/IEC CD 15693-3:1999(e), 48 pp. (11/17/1999) | | | | |
| SH | AO | ISO/IEC, "Automatic Identification - Radio Frequency Identification for Item Management--Communications and Interfaces--Part 3: Physical Layer, Anti-Collision System and Protocol Values at 13.56 MHz MODE 1", ISO/IEC, #ISO/WD 18000-3-v40-1, 105 pp. (3/1/01) | | | | |
| SH | AP | CAPETANAKIS, John I., "Tree Algorithms for Packet Broadcast Channels", <u>IEEE Transactions on Information Theory</u> , Vol. IT-25, No. 5, pp. 505-515 (9/1979) | | | | |
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| EXAMINER / Soon | | By Myan / CONSIDERED | | 02/26/2007 | | |
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